

## Agenda item 4.2.

Paragraph 33 of the annotated agenda, Annex 7

# Revision of standardized baselines procedure

**CDM EB 100**

Bangkok, Thailand, 27 to 31 August 2018



## Procedural background

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- By its decision 3/CMP.13, paragraph 1, the CMP requested the Board to continue to simplify the process for the development and approval of standardized baselines (SBs).
- The Board, at EB 99, considered the concept note on **simplification of the process for the development and approval of SBs** and requested the secretariat to prepare draft regulatory provisions for its consideration at a future meeting.
- The secretariat, while revising this procedure, identified possible improvements based on the secretariat's experience and editorial issues.



## Purpose

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- The purpose of this revision of the “Procedure: Development, revision, clarification and update of standardized baselines” (SB procedure) is to **reflect the decision of the Board at EB 99**.



## Key issues and proposed solutions

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- At EB 99, while considering the concept note, the Board decided:
  - a) To maintain the current provisions regarding the preparation of assessment reports;
  - b) That no changes to the existing regulations were required regarding the use of data on CDM project activities or PoAs;
  - c) **To indicate in the SB procedure that PPs have the option to choose a validity period longer than the default three years;**
  - d) To clarify the requirements for the data currentness and to differentiate the requirements for the coverage period and the currentness of activity data and non-activity data more clearly;
  - e) **To set a deadline for the DNA to provide the requested input or receive confirmation from the DNA about its intention to continue the consideration of the submission with regard to addressing non-responses from the DNA.**



## Key issues and proposed solutions

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- For the solutions listed in subparagraphs (c) and (e), the **SB procedure** is being revised to:
  - a) Make the procedure clearer in stating that project participants have the option to choose a validity period longer than the default three years;
  - b) Introduce an absolute deadline for the DNA to provide the requested input at various stages of the process.
- The solution listed in subparagraph (d) are being reflected in the draft revised **SB data standard** (annex 5 to EB 100 annotations).



## Key issues and proposed solutions

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- In addition to the agreed changes, based on the secretariat's experience in processing SBs, the draft revised SB procedure:
  - a) Clarifies that the DNAs of underrepresented countries, for up to three submissions, may either apply for **funding for the DOE** to prepare the assessment report or omit the assessment report (in which case the assessment report will be prepared by the secretariat);
  - b) Clarifies the **documentation requirements** for proposed new/revised/updated SBs based on whether data were used or not;
  - c) Clarifies that the **assessment report** is not required for proposed new/revised/updated SBs that do not use data. Furthermore, the documentation requirements are listed for proposed new/revised/updated SBs that use data;
  - d) Simplifies the documentation requirements by removing the provisions related to the use of '**sector-specific data templates**', including appendix 1;



## Key issues and proposed solutions

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- In addition to the agreed changes, based on the secretariat's experience in processing SBs, the draft revised SB procedure (cont.):
  - e) Clarifies that the **validity period** of an SB does not change due to a revision;
  - f) Applies consistent processes for the top-down development and revision of SBs by removing the use of a **form** (“Approved standardized baseline revision form”);
  - g) Implements **editorial improvements**.



## Impacts

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- The proposed changes to the SB procedure will make the existing process for the development and approval of SBs more streamlined, objective and clear and facilitate the development and update of SBs without compromising the integrity of SBs.





## Subsequent work and timelines

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- The secretariat will develop/revise relevant forms and will set up internal processes to implement the revised SB procedure.
- For the SBs already in the process, and are currently awaiting input, documents or information from the relevant DNAs at various stages of the process:
  - a) The secretariat will send **a new reminder to the DNAs** to provide such input, documents or information in line with the timeline proposed in the revised SB procedure;
  - b) If the DNAs do not provide the requested input, documents or information within this timeline (one year of this new reminder or any agreed timeline by the Board) the submission will be considered **withdrawn** or the secretariat will **terminate** the development of the draft SB.



## Recommendations to the Board

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- The secretariat recommends that:
  - a) The Board **adopt** the revised SB procedure, and **decide** that it shall enter into force on the last day of EB 100;
  - b) The Board **agrees** to the subsequent works.

